

2015 Best Practices Score

Adak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Peter Dushkin</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 14 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 280 | | |
| SDS O&M Score | | 11 | | |
| TOTAL SCORE | | | 70 | |

2015 Best Practices Score

Akhiok

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Dan McCoy</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Speridon Simeonoff</i> Certification Level: <i>WD P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>54 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 196 | SDS O&M Score | | 8 | TOTAL SCORE | | 49 |

2015 Best Practices Score

Akiachak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ronald Nose</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Henry Pasitnak</i> Certification Level: <i>WD P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| 6 Monitoring and Reporting Violations in 2014 | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Leonard C. Wassilie</i> Course: <i>Elected Officials</i> Date: <i>12/12/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 192 | SDS O&M Score | | 8 | TOTAL SCORE | | 48 |

2015 Best Practices Score

Akiak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Nelson Owen</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Calvin Charles</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>4 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Shelia Carl</i> Course: <i>Financial Mgt</i> Date: <i>3/20/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 188 | | |
| SDS O&M Score | | 8 | TOTAL SCORE | |
| | | | 47 | |

2015 Best Practices Score

Akutan

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Matthew Bereskin</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Joseph Bereskin</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 36 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Mary Tesche</i> Course: <i>Planning Mgt</i> Date: <i>2/10/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | | |
| TOTAL SCORE | | | 75 | |

2015 Best Practices Score

Alakanuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Cyprian Augline</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>47 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 2 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 148 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 37 | |

2015 Best Practices Score

Alatna

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Dena Sam</i> Course: <i>Personnel Mgt Date: 3/24/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Aleknagik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Jasmine Kritz</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 280 | SDS O&M Score | | 11 | TOTAL SCORE | | 70 |

2015 Best Practices Score

Allakaket

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Floyd Saunders</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 120 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 30 | |

2015 Best Practices Score

Ambler

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Anthony Tickett</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>John Ramoth</i> Certification Level: <i>Operator holds no current certification</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Carolyn Ballot</i> Course: <i>Elected Officials</i> Date: <i>11/14/2011</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | | 13 | TOTAL SCORE | | 80 |

2015 Best Practices Score

Anaktuvak Pass

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Distribution 2</i> Primary Operator: <i>Gregory Wagner</i> Certification Level: <i>WD 4</i> Backup Operator: <i>Dan Pillars</i> Certification Level: <i>WD 2</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Pearl Burris</i> Course: <i>Clerks Mgt</i> Date: <i>2/10/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 160 | SDS O&M Score | | 6 | TOTAL SCORE | | 40 |

2015 Best Practices Score

Anchor Point

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Jason Hoffman</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Dorothy Flint</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Dorothy Flint</i> Course: <i>Financial Mgt</i> Date: <i>12/10/2012</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 188 | SDS O&M Score | | 8 | TOTAL SCORE | | 47 |

2015 Best Practices Score

Anderson

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 320 | | |
| SDS O&M Score | | 13 | | |
| TOTAL SCORE | | | 80 | |

2015 Best Practices Score

Angoon

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Paul Thomas</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Daniel Fredrickson</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 11 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Lawrence George</i> . Course: <i>Clerks Mgt</i> Date: <i>9/8/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 100 | | |
| SDS O&M Score | | 4 | | |
| TOTAL SCORE | | | 25 | |

2015 Best Practices Score

Aniak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Lenore Kameroff</i> Course: <i>Clerks Mgt Date: 9/11/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Anvik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>No record of a primary operator Certification Level: N/A</i> Backup Operator: <i>William Nicholi Certification Level: Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Christine Elswick</i> Course: <i>Clerks Mgt Date: 2/27/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 45 | |

2015 Best Practices Score

Arctic Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>George Pitka-Yatlin</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Robert Erick</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>26 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>David Henry</i> Course: <i>Personnel Mgt</i> Date: <i>3/24/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 208 | | |
| SDS O&M Score | | 8 | | |
| TOTAL SCORE | | | 52 | |

2015 Best Practices Score

Atka

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Christopher Maly</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Jonathan Zaachney</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>24 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Christopher Manly</i> Course: <i>Intro to Mgt</i> Date: <i>1/23/2012</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 75 | |

2015 Best Practices Score

Atmautluak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Matthew Gilman</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>David Sims</i> Certification Level: <i>Operator holds no current certification</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| 18 Monitoring and Reporting Violations in 2014 | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Daniel Waska</i> Course: <i>Personnel Mgt</i> Date: <i>1/12/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 132 | SDS O&M Score | | 5 | TOTAL SCORE | | 33 |

2015 Best Practices Score

Atqasuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ed York Certification Level: WT 3</i> Backup Operator: <i>Dan Pillars Certification Level: WT 3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Charlene Brower and others</i> Course: <i>Financial Mgt Date: 9/9/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Barrow

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>James Murphy</i> Certification Level: <i>WT 4</i> Backup Operator: <i>Thomas Drake</i> Certification Level: <i>WT 3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Susan Atos and others</i> Course: <i>Clerks Mgt</i> Date: <i>3/4/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 168 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 42 | |

2015 Best Practices Score

Beaver

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|---|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Paul Petruska</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Aaron Petruska</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Vivian Juneby</i> Course: <i>Clerks Mgt</i> Date: <i>2/10/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 216 | SDS O&M Score | | 9 | TOTAL SCORE | | 54 |

2015 Best Practices Score

Bethel

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>William Stuart</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Gary Watson</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>4 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 308 | SDS O&M Score | | 12 | TOTAL SCORE | | 77 |

2015 Best Practices Score

Birch Creek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>N/A</i> Certification Level: <i>N/A</i> Backup Operator: <i>N/A</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 160 | SDS O&M Score | | 6 | TOTAL SCORE | | 40 |

2015 Best Practices Score

Brevig Mission

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Arnold Seetot</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Carl Rock</i> Certification Level: <i>Small Treated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| 22 Monitoring and Reporting Violations in 2014 | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 200 | SDS O&M Score | | 8 | TOTAL SCORE | | 50 |

2015 Best Practices Score

Buckland

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|--|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Erik Weber</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Evans Thomas</i> Certification Level: <i>WT 1</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Ethel Weber</i> Course: <i>Intro to Utility Management</i> Date: <i>5/18/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 340 | SDS O&M Score | 14 | TOTAL SCORE | 85 |

2015 Best Practices Score

Cantwell

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Chalkyitsik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Larry Henry Certification Level: WT 2</i> Backup Operator: <i>Brian Joseph Certification Level: Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Tamara Henry</i> Course: <i>Personnel Mgt Date: 3/28/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 160 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 40 | |

2015 Best Practices Score

Chefornak

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Thomas Mael</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>6 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Magdalene Mathew</i> Course: <i>Elected Officials</i> Date: <i>2/10/2014</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | 8 | TOTAL SCORE | 52 |

2015 Best Practices Score

Chenega Bay

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>George Eleshansky</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>24 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Norma Selanoff</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 288 | SDS O&M Score | 12 | TOTAL SCORE | 72 |

2015 Best Practices Score

Chevak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>John Atchak</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Patrick Patrick</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Andrew Charles</i> Course: <i>elected officials</i> Date: <i>2/23/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | | 8 | TOTAL SCORE | | 52 |

2015 Best Practices Score

Chignik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Michael Jones</i> Certification Level: <i>WT P</i> Backup Operator: <i>Alexander King</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>27 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Rebecca Boettcher</i> Course: <i>Personnel Mgt</i> Date: <i>9/9/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 75 | |

2015 Best Practices Score

Chignik Lagoon

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Larry McCormick</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Jeremy Billadeau</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 256 | SDS O&M Score | 10 | TOTAL SCORE | 64 |

2015 Best Practices Score

Chignik Lake

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|--------------------------|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Willard Lind</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Jacob Kalmakoff</i> Certification Level: <i>Small Untreated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | Utility has no PM plan or performs no PM | 0 | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Della Kalmakoff</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 268 | SDS O&M Score | 11 | TOTAL SCORE | 67 |

2015 Best Practices Score

Chistochina

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Kathleen Morris</i> Course: <i>Financial Mgt Date: Mar-15</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 388 | | |
| SDS O&M Score | | 16 | TOTAL SCORE | |
| | | | 97 | |

2015 Best Practices Score

Chuathbaluk

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Carl Nesbit</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>William Nesbit</i> Certification Level: <i>WD P</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Robert Golley</i> Course: <i>Personnel Management</i> Date: <i>1/12/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | 13 | TOTAL SCORE | 80 |

2015 Best Practices Score

Circle

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|----------|---|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Lawrence Crow</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Timothy George</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 13 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 12 | | |
| SDS O&M Score | | | | 3 |
| TOTAL SCORE | | | 3 | |

2015 Best Practices Score

City of Eagle

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Steven Hamilton</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Bruce Roberts</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 80 | | |
| SDS O&M Score | | 3 | | |
| TOTAL SCORE | | 20 | | |

2015 Best Practices Score

Clark's Point

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>William Norbert</i> Certification Level: <i>WT P</i> Backup Operator: <i>Gusty Wassily</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 21 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Joseph Wasilly</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 140 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 35 | |

2015 Best Practices Score

Coffman Cove

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|--------------------------|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ronald Rusher</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Mark Higgins</i> Certification Level: <i>WT P</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| 11 Monitoring and Reporting Violations in 2014 | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Misty Sea Fitzpatrick</i> Course: <i>Financial Mgt</i> Date: <i>1/6/2014</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 308 | SDS O&M Score | 12 | TOTAL SCORE | 77 |

2015 Best Practices Score

Cold Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Kurt Uttecht</i> Certification Level: <i>WT P</i> Backup Operator: <i>Shane Watson</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Kurt Uttecht</i> Course: <i>Planning Mgt</i> Date: <i>Feb-14</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 340 | SDS O&M Score | | 14 | TOTAL SCORE | | 85 |

2015 Best Practices Score

Craig

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Leslie Nelson</i> Certification Level: <i>WT 3</i> Backup Operator: <i>David Nelson</i> Certification Level: <i>WT 2</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Kassi Knock/Bateman</i> Course: <i>Clerks Mgt</i> Date: <i>4/2/2012</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 340 | | |
| SDS O&M Score | | 14 | TOTAL SCORE | |
| | | | 85 | |

2015 Best Practices Score

Crooked Creek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Charles Fletcher</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Bedusha Thomas</i> Course: <i>Personnel Mgt</i> Date: <i>3/19/2012</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 120 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 30 | |

2015 Best Practices Score

Deering

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | |
| | | Utility has no certified operators | 0 | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Fletcher Gregg</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Bruce Barr</i> Certification Level: <i>WD P</i> | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | |
| | | Utility has no PM plan or performs no PM | 0 | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | |
| | Attendee: <i>Michael Jones</i> Course: <i>Personnel Mgt</i> Date: <i>3/7/2011</i> | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | |
| | | Utility has no fee structure or collection policy | 0 | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | |
| Utility has no worker's compensation policy | | 0 | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | |
| CIP O&M Score | 280 | SDS O&M Score | 11 | TOTAL SCORE | 70 |

2015 Best Practices Score

Dillingham

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Dylan Carpenter</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Herman Shade</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| 13 Monitoring and Reporting Violations in 2014 | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 268 | SDS O&M Score | | 11 | TOTAL SCORE | | 67 |

2015 Best Practices Score

Diomede

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Robert Omiak</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>51 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 156 | SDS O&M Score | | 6 | TOTAL SCORE | | 39 |

2015 Best Practices Score

Dot Lake Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|---|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>Gary Deeter</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 140 | SDS O&M Score | | 6 | TOTAL SCORE | | 35 |

2015 Best Practices Score

Eek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Adolph Carter Certification Level: WT 1</i> Backup Operator: <i>No record of a backup operator Certification Level: N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>Fritz Petluska</i> Course: <i>No record of RUBA utility management classes within the last five years. Date: No record of RUBA utility management classes within the last five years.</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 292 | SDS O&M Score | | 12 | TOTAL SCORE | | 73 |

2015 Best Practices Score

Egegik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Mike Gravelle</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Don Strand</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Don Strand</i> Course: <i>Financial Mgt</i> Date: <i>11/3/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 220 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 55 | |

2015 Best Practices Score

Ekwok

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Karen Hanson</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 380 | | |
| SDS O&M Score | | 15 | TOTAL SCORE | |
| | | | 95 | |

2015 Best Practices Score

Elfin Cove

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 320 | | |
| SDS O&M Score | | 13 | | |
| TOTAL SCORE | | | 80 | |

2015 Best Practices Score

Elim

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Roy Daniels</i> Certification Level: <i>WT P</i> Backup Operator: <i>David Nakarak</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 260 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 65 | |

2015 Best Practices Score

Emmonak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Arthur Redfox</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Emmanuel Mike</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>26 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Mary Alexie</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 200 | | |
| SDS O&M Score | | 8 | | |
| TOTAL SCORE | | | 50 | |

2015 Best Practices Score

False Pass

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Stephen Madej</i> Certification Level: <i>WT P</i> Backup Operator: <i>Monte Chitty</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Chris Emrich</i> Course: <i>Personnel Mgt</i> Date: <i>1/28/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| | | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 284 | | |
| SDS O&M Score | | 11 | TOTAL SCORE | |
| | | | 71 | |

2015 Best Practices Score

Fort Yukon

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Eric Tremblay</i> Certification Level: <i>WT 3</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Jacqueline Tremblay (Char)</i> Course: <i>Financial Mgt</i> Date: <i>12/10/2012</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Galena

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Howard Beasley</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Charles Miller</i> Certification Level: <i>WT 2</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 380 | | |
| SDS O&M Score | | 15 | | |
| TOTAL SCORE | | | 95 | |

2015 Best Practices Score

Gambell

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Kenny James</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Reubin Tungyan</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 13 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Jennifer Gambell and others</i> Course: <i>Elected Officials</i> Date: <i>4/29/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 148 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 37 | |

2015 Best Practices Score

Golovin

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Wayne Henry</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Dale Aukongak</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 18 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Joann Katchatag</i> Course: <i>Onsite QuickBookes training</i> Date: | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 292 | | |
| SDS O&M Score | | 12 | | |
| TOTAL SCORE | | | 73 | |

2015 Best Practices Score

Goodnews Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Larry Small</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Roy Roberts</i> Certification Level: <i>Small Treated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| 16 Monitoring and Reporting Violations in 2014 | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 248 | SDS O&M Score | | 10 | TOTAL SCORE | | 62 |

2015 Best Practices Score

Grayling

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Paul Howard</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Joshua Koyukuk</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 48 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Ann Short</i> Course: <i>Elected Officials</i> Date: <i>1/31/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 192 | | |
| SDS O&M Score | | 8 | | |
| TOTAL SCORE | | | 48 | |

2015 Best Practices Score

Gulkana

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>John Dye</i> Certification Level: <i>WT P</i> Backup Operator: <i>Samson Frank</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>100 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Monica Sanford</i> Course: <i>Clerks Mgt</i> Date: <i>9/8/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 292 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 73 | |

2015 Best Practices Score

Gustavus

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community does not own a public water system</i> Primary Operator: <i>N/A</i> Certification Level: <i>N/A</i> Backup Operator: <i>N/A</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Healy Lake

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Holy Cross

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>David Walker</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Ernest Peters</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 232 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 58 | |

2015 Best Practices Score

Hoonah

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ronny Roberts</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Billy Miller</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Ken Skafelstad</i> Course: <i>Clerks Mgt</i> Date: <i>2/23/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 328 | SDS O&M Score | | 13 | TOTAL SCORE | | 82 |

2015 Best Practices Score

Hooper Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Patrick Condello</i> Certification Level: <i>WD 2</i> Backup Operator: <i>Aloysius Olson</i> Certification Level: <i>Operator holds no current certification</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Jeanie Smith</i> Course: <i>Financial Mgt</i> Date: <i>12/14/2012</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 212 | SDS O&M Score | | 8 | TOTAL SCORE | | 53 |

2015 Best Practices Score

Hughes

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Arlo Beetus</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Hugh Bifelt</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Thelma Nicholia</i> Course: <i>Planning Mgt</i> Date: <i>2/14/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 320 | | |
| SDS O&M Score | | 13 | TOTAL SCORE | |
| | | | 80 | |

2015 Best Practices Score

Huslia

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Darrell Vent</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Emil Sam</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Elsie Vent</i> Course: <i>Org Mgt</i> Date: <i>12/2/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 360 | | |
| SDS O&M Score | | 14 | TOTAL SCORE | |
| | | | 90 | |

2015 Best Practices Score

Hydaburg

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Donald Bell</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>20 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Natasha Peele</i> Course: <i>Clerks Mgt</i> Date: <i>2/23/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 280 | SDS O&M Score | | 11 | TOTAL SCORE | | 70 |

2015 Best Practices Score

Igiugig

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Terek Anelon</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>AlexAnna Salmon</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Sandra Alvarez</i> Course: <i>Org Mgt</i> Date: <i>9/15/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 220 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 55 | |

2015 Best Practices Score

Iliamna

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 400 | | |
| SDS O&M Score | | 16 | | |
| TOTAL SCORE | | | 100 | |

2015 Best Practices Score

Ivanof Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Kachemak Selo

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|---|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Lazar Reutov</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 40 | | |
| SDS O&M Score | | 2 | | |
| TOTAL SCORE | | | 10 | |

2015 Best Practices Score

Kake

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Clifton Howard</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Roy Kadake</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Falen Mills</i> Course: <i>Elected Officials</i> Date: <i>12/5/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 2 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Kaktovik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ed York Certification Level: WT 3</i> Backup Operator: <i>Dan Pillars Certification Level: WT 3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Angel Akootchook</i> Course: <i>Clerks Mgt Date: 2/10/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 45 | |

2015 Best Practices Score

Kaltag

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Thomas Neglaska</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Richard Burnham</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>26 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Jackie Nicholas</i> Course: <i>Org Mgt</i> Date: <i>12/2/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 112 | SDS O&M Score | | 4 | TOTAL SCORE | | 28 |

2015 Best Practices Score

Karluk

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Joyce Jones</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Christine Reft</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Joyce Jones</i> Course: <i>Financial Mgt</i> Date: <i>3/18/2013</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 240 | SDS O&M Score | 10 | TOTAL SCORE | 60 |

2015 Best Practices Score

Kasaan

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | |
|--|--|--|---------------|----|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Timothy Willis</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Robert Baker</i> Certification Level: <i>WT 1</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>49 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Bonnie Hamar</i> Course: <i>Clerks Mgt</i> Date: <i>2/23/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | 13 | TOTAL SCORE | 80 |

2015 Best Practices Score

Kasigluk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>David Brink</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Nickefer Nicholas</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Natalia Brink</i> Course: <i>Personnel Mgt</i> Date: <i>1/12/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 172 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 43 | |

2015 Best Practices Score

Kiana

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Richard Teel</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Matthew Westlake</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>4 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 280 | SDS O&M Score | 11 | TOTAL SCORE | 70 |

2015 Best Practices Score

King Cove

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|---|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Rodney Calver</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Christopher Lewis</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Cheryl Berntsen</i> Course: <i>Clerks Mgt</i> Date: <i>9/8/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | | 8 | TOTAL SCORE | | 52 |

2015 Best Practices Score

Kipnuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Daniel Paul</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Marvin Jimmy</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Daniel Jimmy Sr.</i> Course: <i>Financial Mgt</i> Date: <i>3/1/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 148 | | |
| SDS O&M Score | | 6 | TOTAL SCORE | |
| | | | 37 | |

2015 Best Practices Score

Kivalina

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Joseph Swan</i> Certification Level: <i>WT P</i> Backup Operator: <i>Dennis Swan</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>9 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Janet Mitchell</i> Course: <i>Financial Mgt</i> Date: <i>5/17/2010</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 248 | SDS O&M Score | 10 | TOTAL SCORE | 62 |

2015 Best Practices Score

Klawock

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Bennett Charles</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Harry Jackson</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>17 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Sybil Galaktianoff and others</i> Course: <i>Elected Officials</i> Date: <i>1/12/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 308 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 77 | |

2015 Best Practices Score

Klukwan

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Johnnie Gamble</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Patricia Warren</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Beverly Klanott and others</i> Course: <i>Elected Officials</i> Date: <i>12/1/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | | 8 | TOTAL SCORE | | 52 |

2015 Best Practices Score

Kobuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Kris McKay</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Quentin Horner</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>14 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Rosie Ward</i> Course: <i>Elected Officials</i> Date: <i>11/14/2011</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 280 | | |
| SDS O&M Score | | 11 | | |
| TOTAL SCORE | | | 70 | |

2015 Best Practices Score

Kokhanok

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Joe Woods</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Jason Nowatak</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 34 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 120 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 30 | |

2015 Best Practices Score

Koliganek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Charlie H. Nelson</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Charlie A. Nelson</i> Certification Level: <i>Small Treated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>10 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Robert Langlotz</i> Course: <i>Intro to Mgt</i> Date: <i>4/10/2012</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 160 | SDS O&M Score | | 6 | TOTAL SCORE | | 40 |

2015 Best Practices Score

Kongiganak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>John Phillip</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>James Tikiun</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 18 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>John R. Phillip</i> Course: <i>Personnel Mgt</i> Date: <i>3/19/2012</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 188 | | |
| SDS O&M Score | | 8 | | |
| TOTAL SCORE | | | 47 | |

2015 Best Practices Score

Kotlik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Wilbur Tonuchuk</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Ryan Prince</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>20 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Mary Ann Mike</i> Course: <i>Elected Officials</i> Date: <i>12/8/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 2 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 248 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 62 | |

2015 Best Practices Score

Kotzebue

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | |
| | | Utility has no certified operators | 0 | | |
| | System Classification: <i>Water Treatment 3</i> Primary Operator: <i>Matthew Lazarus</i> Certification Level: <i>WT 4</i> Backup Operator: <i>Lloyd Walker</i> Certification Level: <i>WT 3</i> | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | |
| | | Utility has no PM plan or performs no PM | 0 | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | |
| | Attendee: <i>Randy Walker</i> Course: <i>Org Mgt</i> Date: <i>12/9-13/2013</i> | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | |
| | | Utility has no fee structure or collection policy | 0 | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | |
| Utility has no worker's compensation policy | | 0 | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | |
| CIP O&M Score | 360 | SDS O&M Score | 14 | TOTAL SCORE | 90 |

2015 Best Practices Score

Koyuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Kevin McDonald</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Tracey Kimoktoak and others</i> Course: <i>Clerks Mgt</i> Date: <i>8/27/2012</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 180 | SDS O&M Score | | 7 | TOTAL SCORE | | 45 |

2015 Best Practices Score

Koyukuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Brian Erickson</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Jason Malemute</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Kathryn Quirk</i> Course: <i>Org Mgt</i> Date: <i>12/5/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 172 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 43 | |

2015 Best Practices Score

Kwethluk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>William Egoak</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Frank Alfred</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Max Angellan</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 292 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 73 | |

2015 Best Practices Score

Kwigillingok

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>John Carter</i> Certification Level: <i>WT P</i> Backup Operator: <i>Adrian Andrew</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>John N. Carter</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 160 | SDS O&M Score | 6 | TOTAL SCORE | 40 |

2015 Best Practices Score

Larsen Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Sam Kenoyer</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Hugh Kennen</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Sam Kenoyer</i> Course: <i>Elected Officials</i> Date: <i>12/12/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 2 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 248 | SDS O&M Score | | 10 | TOTAL SCORE | | 62 |

2015 Best Practices Score

Levelock

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 400 | | |
| SDS O&M Score | | 16 | | |
| TOTAL SCORE | | | 100 | |

2015 Best Practices Score

Lime Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Lower Kalskag

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Paul Evan</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Moses Levi</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>George Sam</i> Course: <i>Elected Officials</i> Date: <i>12/12/2011</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 320 | | |
| SDS O&M Score | | 13 | | |
| TOTAL SCORE | | | 80 | |

2015 Best Practices Score

Manley

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Pamela Redington</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 60 | | |
| SDS O&M Score | | 2 | | |
| TOTAL SCORE | | | 15 | |

2015 Best Practices Score

Manokotak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Reuben Andrew</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Alexie Mochin</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Nancy George</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Marshall

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|--|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Michael Duny</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Thomas Fitka</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Vassily Sergie</i> Course: <i>Elected Officials</i> Date: <i>12/12/2011</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 168 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 42 | |

2015 Best Practices Score

McGrath

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>No record of a primary operator Certification Level: N/A</i> Backup Operator: <i>Dietrich Nikolai Certification Level: Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Traci Humphrey</i> Course: <i>Financial Mgt Date: 3/16/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 340 | | |
| SDS O&M Score | | 14 | TOTAL SCORE | |
| | | | 85 | |

2015 Best Practices Score

Mekoryuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Jack Williams</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Mark Peterson</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>10 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Tanya Amos</i> Course: <i>Clerks Mgt</i> Date: <i>2/27/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 244 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 61 | |

2015 Best Practices Score

Metlakatla

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|---|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Gerald Johnson</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Mike Guthrie</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 40 | | |
| SDS O&M Score | | 2 | | |
| TOTAL SCORE | | | 10 | |

2015 Best Practices Score

Minto

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Jeremy Charlie</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Dudley Smith</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Melanie Titus</i> Course: <i>Personnel Mgt</i> Date: <i>3/24/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 168 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 42 | |

2015 Best Practices Score

Mountain Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Distribution 2</i> Primary Operator: <i>Edward Aguchak</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Stephan Eubank</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>61 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Bibiana Sage</i> Course: <i>Elected Officials</i> Date: <i>1/27/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Nanwalek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Kelly Yeaton</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Daniel Hetrick</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>24 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Alex Hetrick</i> Course: <i>Clerks Mgt</i> Date: <i>9/8/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Napakiak

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Gregory Aluskak</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Chuck Ayagalria</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Walter Nelson</i> Course: <i>Personnel Mgt</i> Date: <i>1/12/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 172 | SDS O&M Score | 7 | TOTAL SCORE | 43 |

2015 Best Practices Score

Napaskiak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|---|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Nelson Egoak</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>Steven Maxi</i> Course: <i>None taken</i> Date: <i>None taken</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 92 | SDS O&M Score | | 4 | TOTAL SCORE | | 23 |

2015 Best Practices Score

Nelson Lagoon

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Merle Brandell</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 16 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Mark McNeley</i> Course: <i>Planning Mgt</i> Date: <i>Feb-13</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 120 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 30 | |

2015 Best Practices Score

Nenana

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Roger Knutsen</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Michael Jillson</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 45 | |

2015 Best Practices Score

New Stuyahok

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Distribution 2</i> Primary Operator: <i>Nick Epchook</i> Certification Level: <i>WD P</i> Backup Operator: <i>Nick Suskuk</i> Certification Level: <i>WD P</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Randal Hastings and others</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| | | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 304 | SDS O&M Score | 12 | TOTAL SCORE | 76 |

2015 Best Practices Score

Newhalen

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Nick Johnson</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Paul Askoak</i> Certification Level: <i>Small Untreated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>11 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Greg Anelon</i> Course: <i>Org Mgt</i> Date: <i>9/14/2014</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | 13 | TOTAL SCORE | 80 |

2015 Best Practices Score

Newtok

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | |
| | | Utility has no certified operators | 0 | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Alexie Kilongak</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | |
| | | Utility has no PM plan or performs no PM | 0 | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | |
| <i>111 Monitoring and Reporting Violations in 2014</i> | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | |
| | Attendee: <i>Andy Louie</i> Course: <i>Elected Officials</i> Date: <i>2/27/2015</i> | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | |
| | | Utility has no fee structure or collection policy | 0 | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | |
| Utility has no worker's compensation policy | | 0 | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | |
| CIP O&M Score | 260 | SDS O&M Score | 10 | TOTAL SCORE | 65 |

2015 Best Practices Score

Nightmute

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Steven Joe</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>8 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>Vacant as of 5/8/15</i> Course: <i>None taken</i> Date: <i>None taken</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 120 | SDS O&M Score | | 5 | TOTAL SCORE | | 30 |

2015 Best Practices Score

Nikolaevsk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Karson Dorvall</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Vasily Yakunin</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Vasily Yakunin</i> Course: <i>Org Mgt</i> Date: <i>9/14/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 348 | SDS O&M Score | | 14 | TOTAL SCORE | | 87 |

2015 Best Practices Score

Nikolai

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Nancy Vanderpool</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 240 | SDS O&M Score | 10 | TOTAL SCORE | 60 |

2015 Best Practices Score

Nikolski

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 80 | SDS O&M Score | | 3 | TOTAL SCORE | | 20 |

2015 Best Practices Score

Ninilchik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 400 | | |
| SDS O&M Score | | 16 | | |
| TOTAL SCORE | | | 100 | |

2015 Best Practices Score

Noatak

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Paul Walton</i> Certification Level: <i>Water Treatment 1</i> Backup Operator: <i>John Williams</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Janet Mills</i> Course: <i>Personnel Mgt</i> Date: <i>3/7/2011</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 248 | SDS O&M Score | 10 | TOTAL SCORE | 62 |

2015 Best Practices Score

Nome

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Jay Wieler</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Jerome West</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| | | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 252 | SDS O&M Score | | 10 | TOTAL SCORE | | 63 |

2015 Best Practices Score

Nondalton

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Timothy Hobson</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Gary Marttila</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 12 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Carrie Harried</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 232 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 58 | |

2015 Best Practices Score

Noorvik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Eric Howarth</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Jeremy Snyder</i> Certification Level: <i>WD P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Roberta Murphy</i> Course: <i>Intro to Utility Management</i> Date: <i>5/18/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 2 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 336 | | |
| SDS O&M Score | | 13 | TOTAL SCORE | |
| | | | 84 | |

2015 Best Practices Score

Northway Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Terry Albert</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 340 | | |
| SDS O&M Score | | 14 | | |
| TOTAL SCORE | | | 85 | |

2015 Best Practices Score

Nuiqsut

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ed York Certification Level: WT 3</i> Backup Operator: <i>Dan Pillars Certification Level: WT 3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Kristine Bennett and others</i> Course: <i>Financial Mgt Date: 9/9/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Nulato

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Gregory Patsey</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Mark Huntington</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Maurice McGinty</i> Course: <i>Org Mgt</i> Date: <i>12/5/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 380 | | |
| SDS O&M Score | | 15 | TOTAL SCORE | |
| | | | 95 | |

2015 Best Practices Score

Nunam Iqua

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Matthew Ignatius</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Daniel Johnson</i> Certification Level: <i>Water Treatment 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>31 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 272 | SDS O&M Score | | 11 | TOTAL SCORE | | 68 |

2015 Best Practices Score

Nunapitchuk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Bobby Mochin</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Vincent Wassillie</i> Certification Level: <i>Small Treated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Juliana Wassillie</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 352 | SDS O&M Score | | 14 | TOTAL SCORE | | 88 |

2015 Best Practices Score

Old Harbor

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Russell Fox</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Simon Ferkingstad</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>6 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Zora Inga</i> Course: <i>Clerks Mgt</i> Date: <i>2/15/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | 8 | TOTAL SCORE | 52 |

2015 Best Practices Score

Oscarville

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>No manager</i> Course: <i>None taken Date: NA</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 360 | | |
| SDS O&M Score | | 14 | TOTAL SCORE | |
| | | | 90 | |

2015 Best Practices Score

Ouzinkie

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Clifford Panamarioff</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Katherine Panamarioff</i> Certification Level: <i>WD P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Katherine Panamarioff</i> Course: <i>Elected Officials</i> Date: <i>11/8/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 292 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 73 | |

2015 Best Practices Score

Pedro Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Pelican

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Allen Stewart</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Linda Ady</i> Certification Level: <i>Operator holds no current certification</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Cheyenne Bean</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | | 8 | TOTAL SCORE | | 52 |

2015 Best Practices Score

Perryville

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Thomas Phillips</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Alec Phillips</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 28 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 40 | | |
| SDS O&M Score | | 2 | | |
| TOTAL SCORE | | | 10 | |

2015 Best Practices Score

Pilot Point

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Lori Ann Abyo</i> Course: <i>Clerks Mgt Date: 9/10/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 75 | |

2015 Best Practices Score

Pilot Station

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Rex Nick</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Ruth Borromeo</i> Course: <i>Financial Mgt</i> Date: <i>3/19/2012</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 300 | SDS O&M Score | 12 | TOTAL SCORE | 75 |

2015 Best Practices Score

Pitkas Point

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Jimmy Kozevnikoff</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Lyle Thompson</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 240 | SDS O&M Score | 10 | TOTAL SCORE | 60 |

2015 Best Practices Score

Platinum

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Marvin Kelila</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>15 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Mark Moyle</i> Course: <i>Financial Mgt</i> Date: <i>3/16/2015</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 148 | SDS O&M Score | 6 | TOTAL SCORE | 37 |

2015 Best Practices Score

Point Hope

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ed York</i> Certification Level: <i>WT 3</i> Backup Operator: <i>Dan Pillars</i> Certification Level: <i>WT 3</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Daisy Sage</i> Course: <i>Financial Mgt</i> Date: <i>9/9-13/2013</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 248 | SDS O&M Score | | 10 | TOTAL SCORE | | 62 |

2015 Best Practices Score

Point Lay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Ed York Certification Level: WT 3</i> Backup Operator: <i>Dan Pillars Certification Level: WT 3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Willard Neakok</i> Course: <i>Financial Mgt Date: 9/9-13/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 220 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 55 | |

2015 Best Practices Score

Port Alexander

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Shanna Smith</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Ryan Martin</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>23 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 228 | SDS O&M Score | 9 | TOTAL SCORE | 57 |

2015 Best Practices Score

Port Graham

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Wes Breedlove</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Daryl Kreun</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>8 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Violet Yeaton</i> Course: <i>Org Mgt</i> Date: <i>9/1/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 75 | |

2015 Best Practices Score

Port Heiden

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Francine Stepanoff</i> Course: <i>Clerks Mgt Date: 9/7/2015</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 208 | SDS O&M Score | | 8 | TOTAL SCORE | | 52 |

2015 Best Practices Score

Port Lions

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Lisa Pennington</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Jordon Covarrubias</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 272 | | |
| SDS O&M Score | | 11 | TOTAL SCORE | |
| | | | 68 | |

2015 Best Practices Score

Port Protection

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Jack Mason</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Terri Metcalf</i> Certification Level: <i>Small Untreated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>4 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 140 | SDS O&M Score | | 6 | TOTAL SCORE | | 35 |

2015 Best Practices Score

Portage Creek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|-----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 400 | SDS O&M Score | | 16 | TOTAL SCORE | | 100 |

2015 Best Practices Score

Quinhagak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|--|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Frank Jones</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Patrick Cleveland</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Henry Mark</i> Course: <i>Financial Mgt</i> Date: <i>3/23/2012</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | | 13 | TOTAL SCORE | | 80 |

2015 Best Practices Score

Rampart

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>No record of a primary operator Certification Level: N/A</i> Backup Operator: <i>No record of a backup operator Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Patty Wiehl</i> Course: <i>Clerks Mgt Date: 2/10/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | | |
| TOTAL SCORE | | | 60 | |

2015 Best Practices Score

Ruby

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Clifford Cleaver</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>James Esmailka</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Jennie Peter</i> Course: <i>Clerks Mgt</i> Date: <i>2/10/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 140 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 35 | |

2015 Best Practices Score

Russian Mission

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Fred Tinker</i> Certification Level: <i>WT P</i> Backup Operator: <i>James Housler</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | |
| | Attendee: <i>Fred Tinker</i> Course: <i>None taken</i> Date: <i>None taken</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 220 | SDS O&M Score | 9 | TOTAL SCORE | 55 |

2015 Best Practices Score

Saint George

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Laurence Prokopi of Certification Level: WD P</i> Backup Operator: <i>No record of a backup operator Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 13 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: Course: <i>Org Mgt Date: 9/14/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 160 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 40 | |

2015 Best Practices Score

Saint Mary's

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | |
| | | Utility has no certified operators | 0 | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Andrew Journey</i> Certification Level: <i>WT 3</i> Backup Operator: <i>Jack Westdahl</i> Certification Level: <i>Small Treated</i> | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | |
| | | Utility has no PM plan or performs no PM | 0 | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | |
| | Attendee: <i>Susana Stinnett</i> Course: <i>Org Mgt</i> Date: <i>12/13/2013</i> | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | |
| | | Utility has no fee structure or collection policy | 0 | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | |
| Utility has no worker's compensation policy | | 0 | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | |
| CIP O&M Score | 348 | SDS O&M Score | 14 | TOTAL SCORE | 87 |

2015 Best Practices Score

Saint Michael

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Norbert Otten</i> Certification Level: <i>Water Treatment 1</i> Backup Operator: <i>Alex Tom</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Bobbi Ann Andrews</i> Course: <i>Elected Officials</i> Date: <i>4/29/2013</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 312 | SDS O&M Score | 12 | TOTAL SCORE | 78 |

2015 Best Practices Score

Saint Paul

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Dimitri Zacharof</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 180 | | |
| SDS O&M Score | | 7 | | |
| TOTAL SCORE | | | 45 | |

2015 Best Practices Score

Sand Point

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Allen Hill</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Vasily Moskalenko</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 288 | | |
| SDS O&M Score | | 12 | TOTAL SCORE | |
| | | | 72 | |

2015 Best Practices Score

Savoonga

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Scott Kingeekuk</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Cedric Toolie</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 260 | | |
| SDS O&M Score | | 10 | | |
| TOTAL SCORE | | | 65 | |

2015 Best Practices Score

Saxman

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Matthew Calves</i> Certification Level: <i>WT 2</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Leona Haffner</i> Course: <i>Elected Officials</i> Date: <i>1/12/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 340 | | |
| SDS O&M Score | | 14 | | |
| TOTAL SCORE | | | 85 | |

2015 Best Practices Score

Scammon Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Carlie George</i> Certification Level: <i>Water Treatment 1</i> Backup Operator: <i>Stanley Charlie</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 14 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Jessica Hunter</i> Course: <i>Clerks Mgt</i> Date: <i>9/7/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 264 | | |
| SDS O&M Score | | 11 | | |
| TOTAL SCORE | | | 66 | |

2015 Best Practices Score

Selawik

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|---|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 3</i> Primary Operator: <i>Ralph Ramoth</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Larry Jones</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 0 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>6 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>George Sheldon</i> Course: <i>Elected Officials</i> Date: <i>11/14-18/2011</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 132 | SDS O&M Score | 5 | TOTAL SCORE | 33 |

2015 Best Practices Score

Seldovia

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|--|--|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Tyler Tucker</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Randall Bond</i> Certification Level: <i>WT 1</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>25 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 320 | SDS O&M Score | | 13 | TOTAL SCORE | | 80 |

2015 Best Practices Score

Shageluk

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>John Hamilton, Sr. Certification Level: Small Treated</i> Backup Operator: <i>No record of a backup operator Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Glenna Benjamin</i> Course: <i>Financial Mgt Date: 3/22/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 260 | | |
| SDS O&M Score | | 10 | | |
| TOTAL SCORE | | | 65 | |

2015 Best Practices Score

Shaktoolik

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | |
|---|---|--|---------------|----|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Edward Jackson</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>29 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 300 | SDS O&M Score | 12 | TOTAL SCORE | 75 |

2015 Best Practices Score

Shishmaref

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | |
|---|---|--|--------------------------|----|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Arthur Sheldon</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Jeffrey Nayokpuk</i> Certification Level: <i>Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>27 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>William Jones and others</i> Course: <i>Elected Officials</i> Date: <i>4/29/2013</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 300 | SDS O&M Score | 12 | TOTAL SCORE | 75 |

2015 Best Practices Score

Shungnak

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>No record of a primary operator Certification Level: N/A</i> Backup Operator: <i>Water Douglas Certification Level: Operator holds no current certification</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Helen Mitchell</i> Course: <i>Financial Mgt Date: 4/1/2013</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 200 | SDS O&M Score | 8 | TOTAL SCORE | 50 |

2015 Best Practices Score

Slana

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> Backup Operator: <i>No certified operator required</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 400 | | |
| SDS O&M Score | | 16 | | |
| TOTAL SCORE | | | 100 | |

2015 Best Practices Score

Sleetmute

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Scott Greger</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Evan Macar</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>24 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

South Naknek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Karl Rawson</i> Certification Level: <i>WT P</i> Backup Operator: <i>Thomas Thaler</i> Certification Level: <i>WT P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 260 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 65 | |

2015 Best Practices Score

Stebbins

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Peter Martin</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Ryan Nashoan</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Nora Tom and others</i> Course: <i>Financial Mgt</i> Date: <i>4/29/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Stevens Village

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Henry Smoke</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Jessica Kozevnikoff</i> Course: <i>Clerks Mgt</i> Date: <i>2/10/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 120 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 30 | |

2015 Best Practices Score

Stony River

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 360 | | |
| SDS O&M Score | | 14 | | |
| TOTAL SCORE | | | 90 | |

2015 Best Practices Score

Takotna

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|--------------------------|-------|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Doug Heath</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Robert Perkins</i> Certification Level: <i>Small Treated</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>42 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| | | Utility has no worker's compensation policy | 0 | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | |
| CIP O&M Score | | 100 | SDS O&M Score | 4 | TOTAL SCORE | 25 |

2015 Best Practices Score

Tanacross

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>John Lillie</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Jerry Isaac</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 108 | | |
| SDS O&M Score | | 4 | TOTAL SCORE | |
| | | | 27 | |

2015 Best Practices Score

Tanana

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Dennis Charley</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Robert Wright</i> Certification Level: <i>WT 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Dorothy Jordan</i> Course: <i>Clerks Mgt</i> Date: <i>9/11/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 132 | | |
| SDS O&M Score | | 5 | | |
| TOTAL SCORE | | | 33 | |

2015 Best Practices Score

Tatitlek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Curtis Kompkoff</i> Certification Level: <i>WT P</i> Backup Operator: <i>Daylan Geffe</i> Certification Level: <i>WD P</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 12 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 160 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 40 | |

2015 Best Practices Score

Tazlina

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>No certified operator required</i> Primary Operator: <i>N/A</i> Certification Level: <i>N/A</i> Backup Operator: <i>N/A</i> Certification Level: <i>N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 400 | | |
| SDS O&M Score | | 16 | | |
| TOTAL SCORE | | | 100 | |

2015 Best Practices Score

Teller

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Jerry Okbaok</i> Certification Level: <i>Water Treatment 1</i> Backup Operator: <i>Reggie Ongowasruk</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>22 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Carolyn Oquilluk, City Administrator</i> Course: <i>Onsite QuickBooks training</i> Date: <i>8/25-28/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | | |
| TOTAL SCORE | | | 75 | |

2015 Best Practices Score

Tetlin

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Michael Sam</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>Wallace Joe</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 6 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| | | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 80 | | |
| SDS O&M Score | | 3 | | |
| TOTAL SCORE | | | 20 | |

2015 Best Practices Score

Thorne Bay

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Jason Blair</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Billy Phillips</i> Certification Level: <i>WT 2</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>5 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Cindy Edenfield</i> Course: <i>Elected Officials</i> Date: <i>1/12/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 340 | | |
| SDS O&M Score | | 14 | TOTAL SCORE | |
| | | | 85 | |

2015 Best Practices Score

Togiak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Distribution 2</i> Primary Operator: <i>Darryl Thompson</i> Certification Level: <i>WD 3</i> Backup Operator: <i>Mickey Atakitlig</i> Certification Level: <i>WD 1</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Esther Fayer</i> Course: <i>Elected Officials</i> Date: <i>12/8/2014</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 328 | | |
| SDS O&M Score | | 13 | TOTAL SCORE | |
| | | | 82 | |

2015 Best Practices Score

Toksook Bay

November 1, 2015

| Category | | O&M Scoring Criteria | Possible | Score | | |
|---|--|--|---------------|-------|-------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | |
| | | Utility has no certified operators | 0 | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Richard Curtis</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Jeffrey Curtis</i> Certification Level: <i>WT 1</i> | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | |
| | Attendee: <i>Ruth Jimmie and others</i> Course: <i>Elected Officials</i> Date: <i>1/31/2014</i> | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | |
| The utility owner's governing body does not meet | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 13 | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | |
| | | Utility has no fee structure or collection policy | 0 | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | |
| Utility has no worker's compensation policy | | 0 | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | |
| CIP O&M Score | | 312 | SDS O&M Score | 12 | TOTAL SCORE | 78 |

2015 Best Practices Score

Tuluksak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Carl Peter</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Robert Allain</i> Certification Level: <i>Small Treated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Willie Phillip</i> Course: <i>Elected Officials</i> Date: <i>2/23/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 140 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 35 | |

2015 Best Practices Score

Tuntutuliak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>No record of a primary operator Certification Level: N/A</i> Backup Operator: <i>John White Certification Level: Small Treated</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>James Lupie</i> Course: <i>Elected Officials Date: 1/27/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 252 | SDS O&M Score | | 10 | TOTAL SCORE | | 63 |

2015 Best Practices Score

Tununak

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|---|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 2 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 168 | | |
| SDS O&M Score | | 7 | TOTAL SCORE | |
| | | | 42 | |

2015 Best Practices Score

Twin Hills

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|--|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Untreated</i> Primary Operator: <i>Julius Henry</i> Certification Level: <i>Small Untreated</i> Backup Operator: <i>William Page</i> Certification Level: <i>Small Untreated</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>John Sharp and others</i> Course: <i>Elected Officials</i> Date: <i>4/6/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 240 | | |
| SDS O&M Score | | 10 | TOTAL SCORE | |
| | | | 60 | |

2015 Best Practices Score

Tyonek

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|----|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Samuel Bartels</i> Certification Level: <i>WT 1</i> Backup Operator: <i>No record of a backup operator</i> Certification Level: <i>N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>4 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 15 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 240 | SDS O&M Score | | 10 | TOTAL SCORE | | 60 |

2015 Best Practices Score

Unalakleet

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 3 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>Roger Nicholas</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Nolan Ivanoff</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 25 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>7 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 332 | | |
| SDS O&M Score | | 13 | | |
| TOTAL SCORE | | | 83 | |

2015 Best Practices Score

Upper Kalskag

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Community has no public water system</i> Primary Operator: <i>No certified operator required Certification Level: N/A</i> Backup Operator: <i>No certified operator required Certification Level: N/A</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>Vacant as of 6/30/15</i> Course: <i>None taken Date: None taken</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 240 | SDS O&M Score | | 10 | TOTAL SCORE | | 60 |

2015 Best Practices Score

Venetie

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|--------------------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Curtis Frank</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>John Frank</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 16 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Kayla Albert</i> Course: <i>Financial Mgt</i> Date: <i>12/9/2013</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 148 | | |
| SDS O&M Score | | 6 | TOTAL SCORE | |
| | | | 37 | |

2015 Best Practices Score

Voznesenka

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|--------------------------|----|---|--------------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Treatment 1</i> Primary Operator: <i>Wlas Reutov</i> Certification Level: <i>WT 1</i> Backup Operator: <i>Zahar Reutov</i> Certification Level: <i>WT P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>1 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 | | | | |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 | | | | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 0 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 5 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 0 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| | | Utility has no worker's compensation policy | 0 | | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | | | | | |
| CIP O&M Score | | 148 | SDS O&M Score | | 6 | TOTAL SCORE | | 37 |

2015 Best Practices Score

Wainwright

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 10 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Water Treatment 2</i> Primary Operator: <i>James Allen</i> Certification Level: <i>WT3</i> Backup Operator: <i>Edward York</i> Certification Level: <i>WT3</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 10 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>0 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Rose Panik</i> Course: <i>Clerks Mgt</i> Date: <i>2/10/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 0 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 220 | | |
| SDS O&M Score | | 9 | | |
| TOTAL SCORE | | | 55 | |

2015 Best Practices Score

Wales

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-----------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 0 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>Zachary Milligrock</i> Certification Level: <i>Operator holds no current certification</i> Backup Operator: <i>Timothy Milligrock</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| <i>2 Monitoring and Reporting Violations in 2014</i> | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 0 |
| | Attendee: <i>N/A</i> Course: <i>N/A</i> Date: <i>N/A</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 10 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 0 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 2 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| Utility has no worker's compensation policy | | 0 | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 0 | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | |
| CIP O&M Score | | 148 | | |
| SDS O&M Score | | 6 | | |
| TOTAL SCORE | | | 37 | |

2015 Best Practices Score

White Mountain

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | |
|---|--|--|-------|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 5 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | |
| | | Utility has no certified operators | 0 | |
| | System Classification: <i>Small Treated</i> Primary Operator: <i>James Ione</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Edward Titus</i> Certification Level: <i>Operator holds no current certification</i> | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | |
| | | Utility has no PM plan or performs no PM | 0 | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 0 |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | |
| 16 Monitoring and Reporting Violations in 2014 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 |
| | Attendee: <i>Yvonne Gregg</i> Course: <i>Financial Mgt/On-site QuickBooks training</i> Date: <i>3/16/2015</i> | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | 2 | |
| The utility owner's governing body does not meet | | 0 | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | |
| | | Utility owner and the Utility have not adopted a budget | 0 | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | |
| | | Utility has no fee structure or collection policy | 0 | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | |
| | | Utility has no worker's compensation policy | 0 | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 |
| Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | 2 | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | 0 | | |
| CIP O&M Score | | 300 | | |
| SDS O&M Score | | 12 | | |
| TOTAL SCORE | | | 75 | |

2015 Best Practices Score

Yakutat

November 1, 2015

| Category | O&M Scoring Criteria | Possible | Score | | | | | |
|---|---|--|---------------|----|----|-------------|--|----|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | 10 | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | 7 | | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | 5 | | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | 3 | | | | | |
| | | Utility has no certified operators | 0 | | | | | |
| | System Classification: <i>Water Distribution 1</i> Primary Operator: <i>Michael Jensen</i> Certification Level: <i>WD 1</i> Backup Operator: <i>Ronald Beattie</i> Certification Level: <i>WD P</i> | | | | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | 25 | 15 | | | | |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | 15 | | | | | |
| | | Utility has no PM plan or performs no PM | 0 | | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | 10 | 5 | | | | |
| Utility had up to five Monitoring and Reporting violation during the past year | | 5 | | | | | | |
| Utility had more than five Monitoring and Reporting violation during the last year | | 0 | | | | | | |
| <i>3 Monitoring and Reporting Violations in 2014</i> | | | | | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | 5 | 5 | | | | |
| | Attendee: <i>Martha Indreland</i> Course: <i>Financial Mgt</i> Date: <i>6/1/2014</i> | | | | | | | |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | 5 | 5 | | | | |
| The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | 2 | | | | | | |
| The utility owner's governing body does not meet | | 0 | | | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | 15 | 15 | | | | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | 13 | | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | 10 | | | | | |
| | | Utility owner and the Utility have not adopted a budget | 0 | | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | 20 | 20 | | | | |
| | | Utility is collecting revenue sufficient to cover expenses | 15 | | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | 5 | | | | | |
| | | Utility has no fee structure or collection policy | 0 | | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | 5 | 5 | | | | |
| | | Utility has a current worker's compensation policy in place for all employees | 2 | | | | | |
| Utility has no worker's compensation policy | | 0 | | | | | | |
| Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | 5 | 5 | | | | | |
| | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | 2 | | | | | | |
| | Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | 0 | | | | | | |
| CIP O&M Score | | 328 | SDS O&M Score | | 13 | TOTAL SCORE | | 82 |

